



10/25/03

CASE 4-31625B

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

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October 23, 2003
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF ART UNIT: 1626
ALBERT ET AL.
APPLICATION NO: 10/660,442
FILED: SEPTEMBER 11, 2003
FOR: INDOLYLMALEIMIDE DERIVATIVES

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the filing date of the application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references are of record in parent Application No. 10/007,308 filed November 5, 2001, and copies are available therein. However, applicants are willing to send copies of any or all of these references at the Examiner's request.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Novartis
Corporate Intellectual Property
One Health Plaza, Building 430
East Hanover, NJ 07936-1080

Norbert Gruenfeld
Norbert Gruenfeld
Agent for Applicants
Reg. No. 30,061
(862) 778-7853

Date: October 23, 2003



FORM PTO-1449
(REV. 7-85)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 3

ATTY. DOCKET NO.
4-31625B
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ALBERT ET AL.
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Group

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|------------------|----|-----------------|----------|---------------------|-------|----------|-------------|
| | AA | US 5,057,614 | 10/15/91 | Davis et al. | | | |
| | AB | US 5,399,712 | 3/21/95 | Christopher H. Hill | | | |
| | AC | US 5,721,245 | 2/24/98 | Davis et al. | | | |
| | AD | US 6,040,152 | 3/21/00 | Kupfer et al. | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | OFFICE | CLASS | SUBCLASS | TRANSLATION YES | TRANSLATION NO |
|--|----|-----------------|---------|---------------------------------|-------|----------|--------------------------|--------------------------|
| | AM | WO 00/38675 | 7/6/00 | PCT | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AN | EP 0 540 956 B1 | 5/12/93 | Europe | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AO | WO 91/13070 | 9/5/91 | PCT | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AP | WO 91/13071 | 9/5/91 | PCT | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AQ | EP 1 224 932 A1 | 7/24/02 | Europe (WO 01/13916 in English) | | | <input type="checkbox"/> | <input type="checkbox"/> |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

| | |
|----|---|
| AR | Derwent Abstract 2001-234973/24 (WO 01/13916 A1, 3/1/01) |
| AS | Coghlan M. et al., "Selective Small Molecule...and Gene Transcription", Chemistry & Biology, Vol. 7, No. 10, pp. 793-803 (2000) |
| AT | Faul M.M. et al., "A New One Step Synthesis of Maleimides . . . Esters with Acetamides", Tetrahedron letters, Vol. 40, pp. 1109-1112 (1999) |

EXAMINER DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

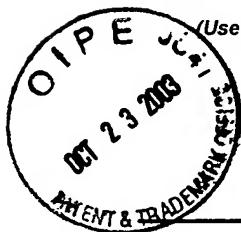
INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 3

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

| | |
|----|--|
| AA | Hendricks, R.T. et al., "2-Aryl-Indolyl Maleimides... Protein Kinase C", Bioorganic & Medicinal Chemistry Letters, Vol. 5, No. 1, pp. 67-72 (1995) |
| AB | Davis P.D. et al., "Inhibitors of Protein Kinase C... 2,3-Bisarylmaleimides", J.Med.Chem., Vol. 35, pp. 177-184 (1992) |
| AC | |
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FOREIGN PATENT DOCUMENTS

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|----|---|--------|--------|-------|----------|-------------------------------------|--------------------------|
| AR | WO 02 10158 A | 2/7/02 | PCT | | | <input type="checkbox"/> | <input type="checkbox"/> |
| AS | WO 01/13916 A (See EP 1,224,932 as the translation) | 3/1/01 | PCT | | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| AT | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| AU | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| AV | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

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